

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.  
-----X

:  
: Chapter 11 Case No.

:  
: 08-13555 (JMP)  
: (Jointly Administered)

:  
: Ref. Docket Nos. 29983, 29985,  
30143, 30144, 30150-30153, 30155-  
30160, 30162-30167, 30169-30171,  
30179-30181

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 21, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
27<sup>th</sup> day of August, 2012

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
C/O EMPYREAN CAPITAL PARTNERS, L.P.  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD., SUITE 2950  
LOS ANGELES CA 90067

Please note that your claim # 16839 in the above referenced case and in the amount of  
\$6,306,000.00 allowed at \$6,306,000.00 has been transferred (unless previously expunged by court order)

DEUTSCHE BANK AG, LONDON BRANCH  
TRANSFEROR: EMPYREAN INVESTMENTS, LLC  
C/O DEUTSCHE BANK SECURITIES INC.  
ATTN: RICH VICHADITH  
60 WALL STREET, 3RD FLOOR  
NEW YORK NY 10005

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 29983 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/21/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 21, 2012.

**EXHIBIT B**

TIME: 17:12:21  
DATE: 08/21/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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Name	Address
AG CENTRE STREET PARTNERSHIP, L.P. BAUPOST GROUP SECURITIES, L.L.C. CANDLEWOOD SPECIAL SITUATIONS MASTER FUND, LTD.	TRANSFEROR: RBS SECURITIES INC. 245 PARK AVENUE, 26TH FLOOR NEW YORK NY 10167 TRANSFEROR: RBS SECURITIES INC. C/O ROPES & GRAY LLP ATTN: PHILIP WELLS 1211 AVENUE OF THE AMERICAS NEW YORK NY 10036-8704 TRANSFEROR: NOMURA GLOBAL FINANCIAL PRODUCTS, INC. C/O CANDLEWOOD INVESTMENT GROUP 777 THIRD AVE., SUITE 19B NEW YORK NY 10017
CHENAVARI FINANCIAL ADVISORS LTD CREDIT SUISSE AG CREDIT SUISSE AG CWD OC 522 MASTER FUND, LTD. DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: EFG BANK AG ATTN: GUILHEM GOYARD, MANAGING DIRECTOR 14 GROSVENOR CRESCENT LONDON SW1X 7EE UNITED KINGDOM TRANSFEROR: NEUE AARGAUER BANK AG C/O CRAVATH, SWAINE & MOORE LLP ATTN: STEPHANIE R. TUMBIOLO 825 8TH AVENUE NEW YORK NY 10019 TRANSFEROR: UBS AG C/O CRAVATH, SWAINE & MOORE LLP ATTN: STEPHANIE R. TUMBIOLO 825 8TH AVENUE NEW YORK NY 10019 TRANSFEROR: NOMURA GLOBAL FINANCIAL PRODUCTS, INC. C/O CANDLEWOOD INVESTMENT GROUP 777 THIRD AVE., SUITE 19B NEW YORK NY 10017 TRANSFEROR: EMPYREAN INVESTMENTS, LLC C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHADITH 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: METROPOLITAN TRANSPORTATION AUTHORITY OF NEW YORK C/O DEUTSCHE BANK SECURITIES INC. ATTN: MATTHEW WEINSTEIN 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
EFG BANK AG EFG BANK AG EMPYREAN INVESTMENTS, LLC	GREENBERG TRAURIG LLP ATTN: MARIA DECONZA 200 PARK AVENUE NEW YORK NY 10166 BAHNHOFSTRASSE 16 ATTN: ISABEL FARAGALLI P.O. BOX 2255 ZURICH 8022 SWITZERLAND TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O EMPYREAN CAPITAL PARTNERS, L.P. ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., SUITE 2950 LOS ANGELES CA 90067
GOLDMAN SACHS LENDING PARTNERS GOLDMAN SACHS LENDING PARTNERS LLC GOLDMAN SACHS LENDING PARTNERS LLC	TRANSFEROR: SPCP GROUP, LLC ATTN: RICK CANONICO GOLDMAN SACHS LENDING PARTNERS LLC JERSEY CITY NJ 07302 TRANSFEROR: SPCP GROUP, LLC ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 TRANSFEROR: YORK GLOBAL FINANCE BDH, LLC C/O GOLDMAN, SACHS & CO. ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
METROPOLITAN TRANSPORTATION AUTHORITY OF NEW YORK METROPOLITAN TRANSPORTATION AUTHORITY OF NEW YORK	TRANSFEROR: PACIFIC INVESTMENT MANAGEMENT COMPANY LLC ATTN: KEVIN BROADWATER 840 NEWPORT CENTER DRIVE, SUITE 100 NEWPORT BEACH CA 92660 C/O GALLIARD CAPITAL MANAGEMENT ATTN: ANDREA JOHNSON 800 LASALLE AVENUE, SUITE 1100 MINNEAPOLIS MN 55402
NEUE AARGAUER BANK AG NEUE AARGAUER BANK AG NEUE AARGAUER BANK AG NOMURA GLOBAL FINANCIAL PRODUCTS, INC. NOMURA GLOBAL FINANCIAL PRODUCTS, INC. RBS SECURITIES INC. SPCP GROUP, LLC SPCP GROUP, LLC SPCP GROUP, LLC SPCP GROUP, LLC UBS AG YORK GLOBAL FINANCE BDH, LLC YORVIK CAPITAL LTD YORVIK PARTNERS LLP	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010 CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019 RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019 DOUGLAS P BARTNER / SOLOMON J NOH SHEARMAN & STERLING LLP 599 LEXINGTON AVENUE NEW YORK NY 10022 THOMAS SALATTE, ESQ 2 WORLD FINANCIAL CENTER NEW YORK NY 10281 TRANSFEROR: PACIFIC INTERNATIONAL FINANCE LIMITED ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BOULEVARD STAMFORD CT 06901 PRIOR CASHMAN LLP ATTN: RONALD S. BEACHER 7 TIMES SQUARE NEW YORK NY 10036 PRIOR CASHMAN LLP ATTN: RONALD S. BEACHER, ESQ. 7 TIMES SQUARE NEW YORK NY 10036 TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC ATTN: BRIAN A. JARMAIN 2 GREENWICH PLAZA, 1ST FLOOR GREENWICH CT 06830 TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC ATTN: BRIAN JARMAIN TWO GREENWICH PLAZA GREENWICH CT 06830 BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND ATTN: HUGO KOLLER, OQ9C/OSGC P.O. BOX ZURICH 8098 SWITZERLAND TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC C/O YORK CAPITAL MGT LP/LAUREN SEARING 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153 TRANSFEROR: YORVIK PARTNERS LLP ATTN: LISA KING 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM TRANSFEROR: YORVIK CAPITAL LTD 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM
Total Number of Records Printed	32